

09/18/00

JCS35 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

77777.008529

First Inventor

Elizabeth R. DYOR.

Express Mail Label No.

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09/18/00

APPLICATION ELEMENTS

 Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

3. ☒ Drawings4. ☒ Oath or Declaration

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from prior appl. (37 C.F.R. § 1.63(d))
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (*Appendix*)
6. Nucleotide/Amino Acid Sequence(if applicable)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of said copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet/document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
9. ☐ English Translation
10. ☐ IDS & Form 1449 ☐ Copies of Information Disclosure Statement Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☒ Small Entity ☐ Statement filed in prior application--Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
15. ☐ Other: Certificate of Mailing by Express Mail

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION,

☐ Continuation ☐ Divisional ☐ CIP ☐ with _____: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number of Bar Code Label or ☒ Correspondence address below

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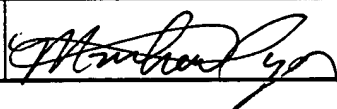
Name (Print/Type)

Matthew G. Dyor

Registration No.

45,278

(Signature)



Date

September 16, 2000

FEE TRANSMITTAL for FY 2000

Complete if Known

Application Number

Filing Date

September 18, 2000

First Named Inventor

Elizabeth R. DYOR

Examiner Name

Group / Art Unit

Attorney Docket No.

77777.008529

TOTAL AMOUNT OF PAYMENT (\$)

345.00

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

Deposit
Account
Name

☒ Charge Any Additional Fee Required Under 37 CFR
§ § 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check

☐ Money
Order

☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Entity Fee (\$)	Entity Fee (\$)	Fee Description	Fee Paid
690	345	Utility Filing Fee	345.00
310	155	Design filing fee	
480	240	Plant filing fee	
690	345	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$345.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
14	-20**= 0	X	0
3	-3**= 0		0
Multiple Dependent			0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9 Claims in excess of 20
102	78	202	39 Independent claims in excess of 3
104	260	204	130 Multiple dependent claim, if not paid
109	78	209	39 **Reissue independent claims over original patent
110	18	210	9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Entity Fee (\$)	Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
380	190	Extension for reply within second month	
870	435	Extension for reply within third month	
1,360	680	Extension for reply within fourth month	
1,850	925	Extension for reply within fifth month	
300	150	Notice of Appeal	
300	150	Filing a brief in support of an appeal	
260	130	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,210	605	Petition to revive - unintentional	
1,210	605	Utility issue fee (or reissue)	
430	215	Design issue fee	
580	290	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (x number of properties)	
760	380	Filing a submission after final rejection (37 CFR § 1.129(a))	
760	380	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)			
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

SUBMITTED BY

Name (Print/Type) Matthew G. Dyor

Registration No.
(Attorney/Agent)

45,278

Complete (if applicable)

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(703) 408 6937

Signature

Matthew G. Dyor

Date

Sept. 18, 2000

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant, Patentee, or Identifier: _____

Application or Patent No.: _____

Filed or Issued: September 18, 2000

Title: Financial Management System

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)


I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Elizabeth R. Dyor

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR


Signature of inventor

Signature of inventor

Signature of inventor

September 18, 2000

Date

Date

Date